Objective: Knowledge of smoking change processes may be enhanced by identifying pathways to stable abstinence. We sought to identify latent classes of smokers based on their day-to-day smoking status in the first weeks of a cessation attempt. We examined treatment effects on class membership and compared classes on baseline individual differences and 6-month abstinence rates. Method: In this secondary analysis of a double-blind randomized placebo-controlled clinical trial (N = 1,433) of 5 smoking cessation pharmacotherapies (nicotine patch, nicotine lozenge, bupropion SR, patch and lozenge, or bupropion SR and lozenge), we conducted repeated-measures latent class analysis of daily smoking status (any smoking vs. none) for the first 27 days of a quit attempt. Treatment and covariate relations with latent class membership were examined. Distal outcome analysis compared confirmed 6-month abstinence rates among the latent classes. Results: A 5-class solution was selected. Three-quarters of smokers were in stable smoking or abstinent classes, but 25% were in classes with unstable abstinence probabilities over time. Active treatment (compared to placebo), and particularly the patch and lozenge combination, promoted early quitting. Latent classes differed in 6-month abstinence rates and on several baseline variables, including nicotine dependence, quitting history, self-efficacy, sleep disturbance, and minority status. Conclusions: Repeated-measures latent class analysis identified latent classes of smoking change patterns affected by treatment, related to known risk factors, and predictive of distal outcomes. Tracking behavior early in a change attempt may identify prognostic patterns of change and facilitate adaptive treatment planning.

What is the public health significance of this article? This study showed that tracking daily smoking early in an attempt to quit smoking can help identify those at risk for long-term smoking and can test ways treatments influence early smoking patterns.

Keywords: smoking, tobacco, cessation, repeated-measures latent class analysis

Relapse is the most common outcome of a smoking cessation attempt. As many as 94% of smokers who cease smoking for at least one day resume smoking within months (Centers for Disease Control and Prevention, 2011). Even with the best available treatments, at most, one third of smokers will achieve 6 or 12 months of continuous abstinence (Fiore et al., 2008; Stead & Lancaster, 2012). Although relapse rates vary in change efforts across drugs of abuse (e.g., Amato, Minozzi, Davoli, Vecchi, 2011; Bonn-Miller & Moos, 2009; Moos & Moos, 2006) and other health-relevant behaviors and conditions (Jeffery et al., 2000; Linke, Gallo, & Norman, 2011), difficulty in initiating and sustaining behavior change are problems common to all of these domains (McLellan, Lewis, O'Brien, & Kleber, 2000).
Although most cessation studies focus on distal outcomes (typically continuous or prolonged abstinence for 6 or 12 months; Fiore et al., 2008; Stead & Lancaster, 2012), survival analyses suggest most returns to smoking begin within the first few days of a change attempt, and this is therefore a critical period in which to intervene (Pisaccki, Fiore, McCarthy, & Baker, 2002; Shiffman et al., 2006). Early outcomes are crucial: Individuals who smoke at all during the first weeks of their quit attempt are very likely to smoke in the long run (Kenford et al., 1994; Wileyto et al., 2005). Across many other domains (e.g., treatment for depression, anxiety, eating disorders, and alcohol use disorders), early response to treatment is an excellent indicator of later treatment response, in addition to being useful in the development of adaptive treatments (e.g., Ciraulo, Dong, Silverman, Gastfriend, & Pettinati, 2008; Steidtmann et al., 2013).

The focus on distal outcomes in smoking cessation research makes sense from public health and treatment efficacy perspectives; lasting abstinence will improve individual and community health (U.S. Department of Health and Human Services, 1990) and this is the outcome we want to influence through treatment. Yet, studies of distal outcomes do not inform our understanding of how smokers achieve distal success or failure or how treatment influences this process (Brandon, Vidrine, & Litvin, 2007).

To advance our understanding of the processes that lead to distal outcomes, we may need to examine the process in new ways. Rather than adopting simple, binary, and ultimately arbitrary, definitions of relapse based on convention (e.g., smoking any amount seven days in a row, Ossip-Klein et al., 1986; smoking at least five cigarettes per day in three consecutive days, Shiffman et al., 2006; smoking at least once a month, Herd & Borland, 2009), research might productively focus on objectively characterizing patterns of daily smoking and abstinence. In a similar spirit, Hughes and colleagues (Hughes et al., 2013, 2014; Peters & Hughes, 2009) have recently tracked day-to-day intentions to smoke and smoking in community smokers hoping to quit, documenting remarkable instability in smoking intentions and behavior across days. Such natural history studies illustrate the complexity of smoking over time, and the degree to which simple classifications of smokers as smoking/abstinent long-term may mask this complexity, and thus perhaps keep us from understanding the processes we try to affect through treatment.

The current project sought to identify latent classes of smokers based on patterns of smoking status (any smoking vs. no smoking each day) over the first month of a quit attempt. This secondary data analysis aimed to generate new information about the number, nature, and prevalence of smoking or abstinence patterns during the initial phase of an attempt to change. We sought to empirically identify underlying classes of smoking status trajectories, using analyses related to smoking cessation identified latent classes of change (Guo, Aveyard, Fielding, & Sutton, 2009; Harrell, Reboussin, McCoy, & Wolfson, 2009), rather than on cessation over shorter timeframes. Other latent class analyses have focused on subtypes of tobacco dependence (e.g., Furberg et al., 2005; Storr, Zhou, Liang, & Anthony, 2004; Timberlake, 2008) or stage of change (Guo, Aveyard, Fielding, & Sutton, 2009; Harrell, Trenz, Scherer, Martins, & Latimer, 2013). The only latent class analyses related to smoking cessation identified latent classes of first lapse contexts (Deiches, Baker, Lanza, & Piper, 2013) and latent classes of retrospectively assessed withdrawal severity (Xian et al., 2005). To our knowledge, no RMLCAs of smoking during the course of a quit attempt have been conducted.

The current project analyzed data from a clinical trial in which adult, daily smokers were randomized to one of five pharmacotherapy regimens or placebo (Piper et al., 2009), plus brief individual smoking cessation counseling. This efficacy trial evaluated the following smoking cessation treatments: nicotine patch, nicotine lozenge, bupropion, the combination of nicotine patch and lozenge, and the combination of bupropion and nicotine lozenge, relative to one another and to placebo (Piper et al., 2009). Based on this and other recent trials, it appears as though combination nicotine replacement therapy yields the highest short- and long-term (6-month) abstinence rates and is superior to monotherapies and placebo (Cahill, Stevens, Perera, & Lancaster, 2013; Piper et al., 2009; Smith et al., 2009). The original efficacy trial (Piper et al., 2009) focused on binary abstinence outcomes at various time points (i.e., cessation in the first week of the quit attempt, 1-week, 8-weeks, or 6-months postquit). A subsequent analysis focused on progression through cessation milestones (Shiffman et al., 2006) and found treatment effects on initial abstinence, lapse occurrence and latency, and relapse occurrence and latency (Japuntich, Piper, Leventhal, Bolt, & Baker, 2011). The current study extends these analyses by examining treatment effects on complex patterns of smoking during the first month of a quit attempt, when most lapses and relapses have occurred and treatments have their largest effects (McCarthy, Pisaccki, Lawrence, Jorenby, Shiffman, Fiore, & Baker, 2008; Pisaccki et al., 2002; Piper et al., 2009). We antici-
pated that we would find a class of smokers who never quit (i.e., whose probabilities of abstinence remained near 0% across the first month of the quit attempt), a class of smokers who quit immediately and stayed quit (i.e., whose probabilities of abstinence remained near 100%), and some intermediate groups of smokers of particular interest who were engaged in efforts to change their smoking status, but struggling to do so. We also examined the extent to which membership in latent classes based on first-month smoking was predictive of later (6-month) biochemically verified abstinence. We expected that groups who struggled to achieve or maintain abstinence in the first month would be less likely to be abstinent five months later.

The project also examined how treatment and theoretically- and clinically relevant individual differences were related to the smoking pattern classes identified by RMLCA. In terms of covariates, we focused on variables identified in previous research as risk or protective factors for relapse (e.g., nicotine dependence, quitting self-efficacy). This analysis served simultaneously to validate the class solution, by demonstrating associations between class membership and known relapse risk factors, and to determine the extent to which these variables might be useful in identifying smokers at risk for smoking in the first weeks of a cessation attempt. Thus, the study aimed to identify distinct patterns of smoking early in a quit attempt, and to identify treatment effects on and individual differences associated with those patterns.

Method

Data for the current study were drawn from the Piper et al. (2009) double-blind randomized clinical trial of smoking cessation pharmacotherapy regimens. A total of 1,504 adult, daily smokers were randomized to one of the following treatment conditions: placebo (n = 189), patch (n = 262), lozenge (n = 260), bupropion SR (n = 264), patch and lozenge combination (n = 267), buproprion SR and lozenge combination (n = 262). The placebo condition was smaller by design based on a priori power analyses. All participants were offered six sessions of brief (10–20-min) smoking cessation counseling designed to provide support, psychoeducation, and problem solving assistance.

Participants

Participants were recruited between 2005 and 2007 via mass media advertisements seeking adult daily smokers for a smoking cessation treatment and health outcomes study in Madison and Milwaukee, Wisconsin. To be eligible for the study, participants had to be at least 18 years of age, smoke at least 10 cigarettes per day for at least 6 months, have an expired carbon monoxide (CO) level of at least 10 ppm, and be motivated to quit smoking. Smokers were excluded for use of other tobacco, current use of bupropion, current psychotropic disorder, or any contraindication to any one of the study medications, pregnancy or breast feeding, or living with someone in the study.

Procedures

Study procedures (approved by the Institutional Review Boards at the University of Wisconsin and Aurora Health Care) are described in detail elsewhere (Piper et al., 2009, 2010). Briefly, randomization to medication condition occurred after all screening and baseline assessment. Randomization was double blinded and blocked on gender and self-identified race (White or other-than-White). All medications were administered in accordance with package inserts and recommended dosage schedules. For this reason, treatment duration was 8 weeks postquit, except for nicotine lozenge (alone or in combination therapies), which extended for 12 weeks postquit. All nicotine replacement treatments began on a target quit day; bupropion treatment began 1 week prequit. Medication adherence was very good, with participants taking more than 70% of study medication (as assessed by medication counts at visits) for all medications except the lozenge (67% of doses taken, Piper et al., 2009). Counseling sessions were offered 3 weeks prequit; 1 week prequit; on the target quit day set by investigators; and 1, 2, and 4 weeks thereafter. Retention in treatment and across follow-ups was excellent (92% of participants were retained through the end of treatment visits and 90% completed the 6-month follow-up visit).

Measures

Cigarette dependence. Several pen-and-paper scales and items assessing cigarette dependence were administered in the initial screening sessions. These include items assessing smoking heaviness (cigarettes smoked per day, on average) and years smoked. Cigarette dependence scales administered included the Fagerström Test of Nicotine Dependence, now called the Fagerström Test of Cigarette Dependence (FTCD; Fagerström, 2012; Heatherton, Kozlowski, Frecker, & Fagerström, 1991; scores range from 0 to 10 with scores above 5 indicating moderate dependence), the Wisconsin Inventory of Smoking Dependence Motives (WISDM-68; Piper et al., 2004; rated on seven-point scales), and the Nicotine Dependence Syndrome Scale (Shiffman, Waters, & Hickcox, 2004; total score on this scale is a factor score based on raw scores weighted by factor loadings from the development sample for the measure). For the WISDM-68, we computed a summary score for primary dependence motives (by taking the mean of scores on four of the 13 subscales of the WISDM-68: tolerance, craving, automaticity, and loss control) and a separate summary score for secondary dependence motives by taking the mean of the remaining nine subscales (Piaśceki, Piper, & Baker, 2010). Both primary and secondary WISDM-68 scores range from 1 to 7. On these scales, higher scores indicate greater dependence. These measures of dependence have been shown to be predictive of relapse (Piper, McCarthy, & Baker, 2006, 2008; Shiffman et al., 2004). All three measures were included because they tap different aspects of dependence and all have evidence of validity, without clear evidence of superiority of one measure.

Quitting history. Cessation history, including number of quit attempts and longest period of abstinence, have been shown to predict cessation outcomes (Borland et al., 2010; Gilpin, Pierce, & Farkas, 1997; Hyland et al., 2006; Hymowitz et al., 1997; Partos, Borland, Yong, Hyland, & Cummings, 2013). Participants reported the number of past quit attempts and the longest duration of past abstinence. There were 38 extreme outliers reporting 20 to 99 serious past quit attempts. These extreme values were truncated at 20. After truncation, the data were still significantly positively skewed, so all values were increased by one (so the minimum value was one) and log-transformed.
The longest duration of past abstinence was assessed as a categorical variable with seven levels ranging from less than a day to more than a year. To preserve the richness of this ordinal data (vs. turning this into a binary variable) without adding excessive complexity to our models (with several contrast-coded variables), this categorical variable was collapsed into a single ordinal variable. For each level of the categorical variable, the new ordinal variable took the value corresponding to the midpoint of its interval, in days (e.g., 1 to 3 months was set to 60 days; see Weisberg, 1991).

Self-efficacy. Quitting self-efficacy is a validated predictor of short- and long-term abstinence outcomes (e.g., Gwaltney, Metrick, Kahler, & Shiffman, 2009). Subjects were asked at baseline, “If you try to quit smoking within the next 30 days, how likely is it that you will be successful?” and responded on a 7-point scale ranging from “not at all” to “extremely” likely. Single self-efficacy items predict abstinence and mediate treatment effects (Gwaltney et al., 2009; McCarthy, Piasecki, Lawrence, Jorenby, Shiffman, & Baker, 2008).

Baseline craving. Cigarette craving has been shown to predict initial cessation (e.g., Bolt, Piper, Theobald, & Baker, 2012) and distal outcomes (McCarthy, Piasecki, Fiore, & Baker, 2006). Craving was measured using the Wisconsin Smoking Withdrawal Scale, which contains a reliable four-item subscale assessing craving (Cronbach’s alpha 0.88 to 0.90; Welsch et al., 1999). Items were rated on a 5-point scale ranging from 0 (strongly disagree) to 4 (strongly agree).

Baseline sleep disturbance. Research has linked sleep problems to increased smoking (Hamidovci & de Wit, 2009). Baseline sleep problems were assessed using a five-item subscale from the Wisconsin Smoking Withdrawal Scale (Cronbach’s alpha 0.90 to 0.93; Welsch et al., 1999). The subscale contains items such as, “My sleep has been troubled” and “I awaken from sleep frequently during the night” and is rated on the same 0- to 4 scale as the craving subscale.

Lifetime history of mood disorders. Modules assessing mood, anxiety, substance abuse, eating, and attention deficit disorders from The Composite International Diagnostic Interview (CIDI; Kessler & Üstün, 2004; World Health Organization, 1990) were administered at baseline. Both lifetime and past-year (but not necessarily current) major depression or dysthymia diagnoses were available. We focused on lifetime history of depressive or dysthymic disorder to see if we would replicate previous findings that lifetime diagnostic status predicts abstinence rates (Glassman et al., 1988) using our RMLCA approach.

Demographics. Participants reported their age, gender, self-identified race, level of education, annual household income, marital status, and employment status at baseline. Education and income were both assessed using categorical options. Subjects endorsed one of six levels of education ranging from kindergarten or less to 4 years of college or more. For annual household income, subjects selected one of seven categories ranging from less than $10,000 per year to $75,000 or more per year. With the longest past quit attempt, these variables were recoded into ordinal scales by setting the value to the midpoint of the range chosen.

Smoking status. At each visit, participants completed time-line follow-back assessments of the amount smoked each day since the last visit (Brown et al., 1998). Daily smoking status (any smoking vs. none) for each of the first 27 days of the quit attempt was used as the repeated indicator of latent class in the RMLCA. The data were largely complete, with 96.1% of the sample retained for the current analyses having complete data and fewer than 3% missing data on any given day. A binary indicator was used in favor of a smoking heaviness indicator because total abstinence was common in the sample as a whole (with a mean probability of total abstinence roughly 70% per day and 73.6% of participants abstinence on more than half of the first 27 days). Also, models with more complex multimodal indicators could not be estimated for all 27 days of interest. Six-month smoking status was intent-to-treat (10% missing) 7-day point-prevalence abstinence based on self-reported total abstinence from tobacco in the past 7 days confirmed with a CO level below 10 ppm (Benowitz et al., 2002).

Data Analysis Plan

All models were estimated using SAS version 9.3 (SAS Institute Inc., Cary, NC) via Proc LCA and in Mplus version 7.0 (Muthén & Muthén, 1998-2012) using maximum likelihood estimation with 200 random starts. The results from the two programs were convergent. The SAS results are presented below, except where noted. The RMLCA is essentially a latent variable measurement model in which categorical latent class is defined by an individual’s response to each item (e.g., smoking status each day) across time. Individuals with similar patterns of responding are expected to be members of the same latent class. The parameters of the latent class model help to define the latent classes: (a) latent class proportions indicate how many people are expected to be in each class and (b) the probabilities of responding to an item, given latent class membership. Probabilities closer to 1.0 indicate a strong correspondence between latent class membership and endorsement of the item. It is assumed that the latent class variable explains all of the variation between variables within each class (i.e., the variables are uncorrelated within each class), an assumption called conditional independence. The current study uses a repeated-measures extension of the basic latent class model described by Lanza and Collins (2006).

In the current study, the item indicators were daily smoking status (0 = no smoking, 1 = smoking) across the first 27 days of treatment. A 27-day period was selected because this was when we anticipated the greatest instability in daily smoking status and going beyond this number of repeated measures caused estimation problems. The goal of the RMLCA was to determine the number of patterns (i.e., classes) that would be necessary to explain the variation in smoking status over time. We estimated one to seven classes, and the optimal number of classes was determined by multiple indices of model fit and classification precision: Bayesian information criteria (BIC; Nylund, Asparouhov, & Muthen, 2006; Schwarz, 1978), the sample-size-adjusted BIC (aBIC, Sclove, 1987), bootstrapped likelihood ratio test (BLRT; McLachlan & Peel, 2000; Nylund et al., 2006), classification precision (defined by entropy, a summary measure of the estimated posterior class probabilities), and interpretability of latent classes. The BLRT, which tests the improvement in fit for each additional estimated class, was estimated in Mplus. We began model testing using unconditional models (without covariates) and then added treatment contrasts and covariates as predictors of latent class membership. All continuous covariates were z-score standardized (\( M = 0, SD = 1 \)). Class prevalence and item probabilities were compared across all models to assess stability of the class estimates.
with covariates included. Missing data in daily smoking status was accommodated using maximum likelihood estimation, which allows for all available data to be used in estimating the variance-covariance matrix. Individuals with missing data on any of the covariates (n = 17; 1.2% of the total sample) were excluded from covariate analyses.

After identifying the number of latent classes, conditional RMLCA models including all treatment and baseline covariates were fit. A set of five conceptually based orthogonal contrasts was used to assess for treatment effects on latent class membership. First, all active treatments were compared against placebo medication. Next, the patch and lozenge combination, which produced higher 6-month abstinence rates than each of the monotherapies and longer survival to lapse or relapse than other treatments (Piper et al., 2009), was compared against all other active treatments (patch alone, lozenge alone, bupropion alone, and the combination of bupropion and lozenge). Third, a composite of patch only and the combination of bupropion and lozenge treatment was compared to a composite of lozenge only and bupropion only conditions. This contrast was chosen because the early abstinence rates (through the end of treatment) between the pairs of conditions to be combined in each composite were similar to one another and the survival curves prior to smoking 3 days in a row were very similar within these pairs of conditions (Piper et al., 2009). The fourth contrast compared patch only to the bupropion and lozenge combination to assess the extent to which these two conditions, which had similar early abstinence rates and relapse survival curves, differed from one another. The fifth contrast compared bupropion monotherapy with lozenge monotherapy to see the extent to which these two monotherapies with similar outcomes and survival differed from one another.

Conditional models also included baseline covariates such as nicotine dependence. In light of the collinearity of the multiple nicotine dependence measures, and in an effort to protect family-wise error, we computed factor scores on a single dependence factor defined by total FTCD and Nicotine Dependence Syndrome Scale total scores, and WISD-M-68 primary and secondary scale total scores. In initial conditional models, this composite factor score was screened as a covariate. Because the composite was significantly related to class membership, the individual scales were then included in the conditional RMLCA models in an effort to identify which scales drove the composite effect.

In the distal outcome analyses, rates of seven-day point prevalence abstinence confirmed by an expired CO level below 10 ppm at the 6-month postquit follow-up were examined in the latent classes using marginal means in models containing all treatment contrasts and significant covariates. In RMLCA, individuals cannot be classified definitively into one and only one class; each case was assigned posterior probabilities of membership in each of the latent classes and these probabilities were used to inform class membership for the distal outcome analysis.

Results

In the current study, 1,433 of the 1,504 (95.3%) who provided at least one day of smoking data after a target quit day were included in analyses. The remaining 71 (4.7%) enrollees did not provide even one day of postquit smoking status data, which eliminated them from our models. There were more women (n = 876, 58.2%) than men (n = 628, 41.8%) in the sample and the majority of participants self-identified as White (n = 1258, 83.6%) with a smaller sample of African American individuals (n = 204, 13.6%). As reported in Piper et al., 2010, a total of 1,080 (73.5%) subjects in the full sample (1,470 of whom completed the CIDI (Kessler & Ustün, 2004; World Health Organization, 1990) had a CIDI-identified lifetime diagnosis of any mood, anxiety, or substance use disorder (in addition to nicotine dependence), 263 (17.9%) had a lifetime diagnosis of a mood disorder, 579 (39.4%) had a lifetime diagnosis of an anxiety disorder, and 817 (55.6%) had a lifetime substance use disorder. Other sample characteristics are summarized in Table 1.

The probability of abstinence was roughly 70% on each of the 27 days (See Figure 1a). Only 35.5% of individuals were continuously abstinent across 27 days, however. Another 9.6% smoked all 27 days. Thus, more than half of individuals varied in smoking status across time.

Unconditional RMLCA

The 27 days of smoking status were used to examine RMLCA models with 1–7 classes. The difference in BIC/aBIC model fit and

<table>
<thead>
<tr>
<th>Covariate</th>
<th>M</th>
<th>SD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age in years</td>
<td>44.80</td>
<td>11.06</td>
</tr>
<tr>
<td>Annual household income (in $1,000s)</td>
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<td>24.81</td>
</tr>
<tr>
<td>Years of education</td>
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<td>1.77</td>
</tr>
<tr>
<td>Fagerström Test of Cigarette Dependence</td>
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</tr>
<tr>
<td>Nicotine Dependence Syndrome Scale</td>
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<td>0.91</td>
</tr>
<tr>
<td>Wisconsin Inventory of Smoking Dependence Motives-68: Primary scales</td>
<td>4.92</td>
<td>1.18</td>
</tr>
<tr>
<td>Wisconsin Inventory of Smoking Dependence Motives-68: Secondary scales</td>
<td>3.79</td>
<td>1.08</td>
</tr>
<tr>
<td>Cigarettes per day</td>
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<td>8.95</td>
</tr>
<tr>
<td>Years Smoked</td>
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<td>11.24</td>
</tr>
<tr>
<td>Number of past quit attempts</td>
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<td>4.41</td>
</tr>
<tr>
<td>Longest period abstinent in days</td>
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<td>99.36</td>
</tr>
<tr>
<td>Past mood disorder (1 = yes, 0 = no lifetime mood disorder)</td>
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<td>0.38</td>
</tr>
<tr>
<td>Baseline self-efficacy</td>
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<tr>
<td>Baseline Wisconsin Smoking Withdrawal Scale: Craving subscale</td>
<td>2.45</td>
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</tr>
<tr>
<td>Baseline Wisconsin Smoking Withdrawal Scale: Sleep subscale</td>
<td>1.87</td>
<td>1.00</td>
</tr>
</tbody>
</table>

Table 1
Summary of Covariate Values
the BLRT between models indicated that the improvement in model fit (as reflected by a drop in BIC/aBIC) with each additional class began to slow at the five-class model (see Table 2). Because the model fit indices improved with six and seven classes, we examined these solutions closely. We found that the additional one to two classes in these models were small (with prevalences at or below 5%), had patterns of daily smoking status highly similar to those in the five-class solution, and were highly similar to the classes in the five-class solution in terms of covariate profiles and 6-month abstinence rates. Based on reduction in BIC/aBIC, interpretability, and clinical utility we selected the five-class model as the final model.

The five-class model (Figure 1b) included a class of individuals with high abstinence probabilities through 27 days (which we have named early quitters, 58.3% estimated prevalence), a class who likely never quit (cessation failures, 16.3%), a class who

Figure 1. (a) Estimated probability of abstinence (from 0, smoking, to 1, abstinent) from cigarettes by day for the first 27 days of a quit attempt assuming all smokers belong to a single latent class. The full sample (N = 1,433) with any postquit smoking data was included in this analysis. (b) Estimated probability of abstinence (rho) from cigarettes by day for the first 27 days of a quit attempt, given latent class membership, in the unconditional five-class model. Class prevalences are noted in parentheses below the class labels in the figure legend. The full sample (N = 1,433) with any postquit smoking data was included in this analysis. Note that the Intermittent smoker classes are so named because daily abstinence probabilities in these classes are intermediate between abstinence and smoking, suggesting smoking on some but not all days. The early intermittent class is so named because smoking probabilities in this class suggest a reduction of smoking days beginning on the target quit day. The late intermittent class is so named because abstinence probabilities do not increase markedly in this class until the second week of the quit attempt.
and then maintained a heightened but intermediate probability of abstinence (ranging from 0.55 to 0.88 across days) suggestive of smoking on some days (early intermittent smokers, 11.1%), and two classes that evinced diverging patterns of smoking over time, relapsers (7.4%) and late intermittent smokers (6.6%), crossing in opposite directions around Day 11. Both the early and late intermittent smokers appeared to smoke intermittently (i.e., they reduced the number of days smoked). Early intermittent smokers limited smoking to rare days immediately after the target quit day whereas late intermittent smokers had only rare instances of abstinence until the second week of the quit attempt, when abstinence probabilities climbed and began to exceed 0.5.

Conditional RMLCA: Treatment Effects

Treatment effects were evaluated in models containing all covariates shown in Table 3 and described below. The latent class structure and prevalences were stable, with only modest changes in these estimates, in the conditional (see Table 3) and unconditional (Figure 1b) models. To identify treatment effects on class membership, we first compared each of the other classes against the reference group to examine differences among the intermediate classes (Table 3, Panel C).

Then, a further set of contrasts was conducted with cessation failures as the reference group to see how the intermediate classes differed from these least successful quitters (Table 3, Panel B). Conditional RMLCA: Covariate Effects

Treatment predicted class membership. As shown in Table 3A, those receiving any active medication were less likely than those receiving placebo to be in a smoking class (i.e., actively treated participants were significantly more likely to be early quitters than each of the other classes). Actively treated participants had 63% lower odds (odds ratio \( OR = .37 \)) of being a cessation failure rather than an early quitter than did placebo-treated participants, for example. Receiving combination patch and lozenge treatment roughly halved odds of being a cessation failure rather than early quitter, relative to the other active treatment conditions (bupropion + lozenge, bupropion, lozenge, or patch). In addition, patch monotherapy and the bupropion and lozenge combination were superior to bupropion or lozenge monotherapy in promoting early quitting over cessation failure or early intermittent smoking (Table 3A).

Participants receiving active medication were more than 1.6 times more likely than placebo-treated participants to be in the early or late intermittent smoking or relapse classes rather than cessation failures (Table 3B). Combination patch and lozenge treatment promoted early change in smoking (early intermittent smoking or relapse) rather than cessation failure better than did other active treatments, but did not significantly differentiate cessation failures and late intermittent smokers (Table 3C). Patch monotherapy increased the odds of relapse rather than cessation failure better than did bupropion + lozenge (Table 3B). Patch monotherapy (vs. bupropion + lozenge) was also associated with decreased odds of late intermittent smoking versus relapse (Table 3C). No other significant treatment effects were detected.

Conditional RMLCA: Covariate Effects

First, a model with all candidate covariates and the treatment contrasts was tested. Covariates were then trimmed from the model one-by-one using log-likelihood difference testing, with each covariate evaluated for the degree of decrement in model fit. The final, best-fitting model retained treatment variables and the following covariates: longest duration of past abstinence (past abstinence), self-efficacy, FTCD, number of past quit attempts (truncated and log-transformed), baseline sleep disturbance (sleep), and minority status (minority).

As shown in Table 3A, early quitters differed from at least one of the other classes on every covariate and they differed significantly from cessation failures on every covariate. For example, the likelihood of being classified as a cessation failure, rather than an early quitter, was doubled for subjects who identified as non-White, compared with those who identified as White (Table 3A; OR = 2.04). Minority status was also associated with greater risk of relapse and late intermittent smoking rather than early quitting. Longer past abstinence, more past quit attempts, and higher self-efficacy had protective effects, as they were associated with roughly 20% lower odds of being cessation failures rather than early quitters. Longer past abstinence also significantly reduced the odds of being in all other smoking classes rather than an early quitter. In contrast, higher
FTCD scores increased the odds of being classified as a cessation failure or relaper rather than early quitter. Baseline sleep disturbance also increased risk of being a cessation failure or an early intermittent smoker, rather than an early quitter.

Table 3B shows the differences between all intermediate classes and cessation failures. FTCD scores and minority status differentiated early intermittent smokers and cessation failures, with higher FTCD scores and minority status associated with lower odds of reducing smoking. More past quit attempts increased the odds of being a late intermittent smoker rather than a cessation failure. Higher self-efficacy increased the odds of relapse or early intermittent smoking rather than cessation failure.

Table 3C shows the differences between relapers and the two other intermediate classes. Early intermittent smokers and late intermittent smokers differed from relapers in terms of FTCD. Higher FTCD scores were associated with 22% lower odds of being in an intermittent smoking class rather than relapse. Higher self-efficacy was related to reduced odds of classification as a late intermittent smoker rather than a relaper (i.e., relapers had higher baseline self-efficacy than late intermittent smokers, Table 3B, who were intermediate in self-efficacy between cessation failures, Table 3C).

## Distal Outcomes

The overall CO-confirmed 7-day point prevalence abstinence rate 6-months postquit was 34.0% (481 of 1,416 cases with complete data on covariates). Individuals were assigned to latent classes based on posterior probabilities of membership in each class. Model entropy was high (entropy = 0.95), indicat-
Discussion

The latent classes identified in this analysis suggest that there are multiple trajectories of abstinence (or smoking) during the first weeks of a quit attempt. These classes of trajectories appear to be valid and meaningful, as they are significantly associated with treatment, covariates, and 6-month abstinence in anticipated ways. All pharmacotherapies improved the odds of membership in an early quitting class, rather than a smoking class, better than did placebo, and the patch and lozenge combination was particularly helpful in reducing cessation failure. Results also confirmed that nicotine dependence, quitting history, and self-efficacy are important to success in quitting, and suggest that prequit sleep problems may be another important risk factor for difficulty quitting, along with minority status.

A substantial proportion of smokers exhibited unstable abstinence probabilities across the first weeks of quitting. Three quarters of the subjects fell into two extreme groups, early quitters and cessation failures, who exhibited fairly stable (after the first week) and extreme daily abstinence probabilities, and also differed in distal abstinence status. Roughly one-quarter of quitters, in contrast, showed substantial shifts in abstinence probabilities over the succeeding weeks. Relapsers were likely to be confident and abstinent initially, but then became more likely to smoke, ending up with the same 5% 6-month abstinence rate as cessation failures who never quit. Late intermittent smokers, conversely, showed the potential for recovery from a poor early course that resembled that of cessation failures. Despite low initial abstinence and low confidence relative to those who quit early (early quitters and relapers), late intermittent smokers went on to achieve an impressive 23% 6-month abstinence rate, significantly higher than in other classes with immediate change in smoking and similar overall abstinence probabilities collapsed over days (early intermittent smokers and relapers). The ability to recover from initial difficulty may be a marker for later success. It may reflect persistence, motivation, mobilization of support, or other mechanisms that could be examined in the future.

The two groups most extreme in terms of abstinence probabilities, early quitters and cessation failures, differed significantly by treatment and in baseline characteristics. Active treatment, particularly nicotine patch and combination treatments, decreased the risk of cessation failure relative to early quitting. Cessation failures were more nicotine dependent, had lower self-efficacy, and reported worse baseline sleep than did early quitters. Cessation failures also had fewer lifetime quit attempts and shorter past abstinence than did early quitters. This stands in contrast to previous research showing that more past-year quit attempts predict lower odds of successful quitting (Partos et al., 2013). Recent quit attempts may indicate risk for current failure in a way that the more distal attempts assessed in this study did not. Minority individuals were twice as likely to be cessation failures versus early quitters, and this did not appear to be accounted for by income or education, as the minority status covariate was significant in initial models containing these nonsignificant variables. These findings support the validity of the class solution and extend our knowledge, both in linking baseline sleep disturbances to difficulty quitting completely, and in demonstrating that the risk factors act on the early trajectory of abstinence during a quit attempt.

Other notable findings include examples of daily abstinence probability divergence and convergence among groups. Some groups started on similar paths but then diverged. Cessation failures and late intermittent smokers had similar, low abstinence probabilities in week one, but diverged thereafter as the late intermittent smokers increased abstinent days while cessation failures approached zero abstinence probability. Active treatment may have facilitated this divergence, as those on active medication (vs. placebo) were more likely to recover from initial failure in the intermittent class rather than remain smoking daily. Greater success in past quit attempts also predicted late intermittent smoking rather than cessation failure.

In addition, quitters, early intermittent smokers, and relapers all achieved abstinence probabilities above 0.7 in the first days of the quit attempt, but then diverged, such that early quitters maintained abstinence, relapers showed a precipitous fall in abstinence, and early intermittently smokers showed a slow decline in volatile daily abstinence probabilities. Treatment seemed to affect this divergence, as well. Active treatment reduced the risk of relapse or inconsistency in abstinence (relative to placebo), rather than consistent abstinence. Receiving the patch or the combination of bupropion and lozenge also protected against early intermittent smoking (rather than consistent abstinence) better than did bupropion or lozenge monotherapies. In addition, nicotine dependence was positively associated with risk of relapse, relative to both consistent and inconsistent abstinence (i.e., early quitting and early intermittent smoking). Greater past abstinence duration was also linked to reduced risk of early intermittent smoking rather than consistent abstinence (early quitting). Interestingly, baseline sleep disturbance was associated with greater risk of inconsistency in...
Six-Month Marginal CO-Confirmed 7-Day Point-Prevalence Abstinence Rate Estimates by Latent Class

![Graph showing abstinence rates for different smoking classes](image)

Figure 2. Estimates of carbon-monoxide–confirmed, 7-day, point-prevalence abstinence from smoking 6 months following a target quit day. These are estimated marginal means of abstinence among latent classes in a model containing covariates. * indicates that early quitters differed significantly from every other class at \( p < .001 \).

abstinence, as this increased the odds of being an early intermittent smoker rather than an early quitter.

Other groups started with different behavior, but then converged on the same endpoints (at 27 days and 6 months) by different paths. Most notably, relapers and cessation failures who, combined, comprise nearly one-quarter of the sample, had very low abstinence probabilities at 27-days and 6-months postquit, although relapers started the quit attempt with high abstinence probabilities. Treatment appears to have contributed to the differences in initial cessation in these two classes, as any treatment (vs. placebo), combination patch and lozenge treatment (vs. other active treatment), and patch treatment (vs. bupropion + lozenge), all increased the odds of relapse (marked by early abstinence) relative to cessation failure (marked by smoking from the outset). Greater baseline self-efficacy was also associated with relapse versus cessation failure, which suggests that confidence may foster initial success in quitting. Such confidence may be a double-edged sword, as confidence was also greater among relapers than among late intermittent smokers. Clinically, it may be important to boost self-efficacy to reduce cessation failure, but also to prevent overconfidence that may increase risk of later relapse.

The results also add to our understanding of the comparative efficacy of the five pharmacotherapies tested. Conventional analyses have already demonstrated the benefits of active (vs. placebo) pharmacotherapy (Japuntich et al., 2011; Piper et al., 2009), and the superiority of combination therapy (Smith et al., 2009), particularly the patch and lozenge combination (Piper et al., 2009) in promoting long-term abstinence. Patch monotherapy and bupropion and lozenge combination therapy were also known to slow progression to relapse following an initial lapse (Japuntich et al., 2011). The current results suggest that all active treatments, and particularly patch and combination treatments, differentiate the least and most optimal patterns of abstinence (cessation failure and early quitting) and also promote complete rather than intermittent initial abstinence. Interestingly, nicotine patch therapy seems to be better at promoting initial abstinence (relapse vs. cessation failure) than bupropion and lozenge combination treatment, but does not seem to foster recovery (late intermittent smoking vs. relapse) as well as bupropion and lozenge. This suggests that adaptive treatments (e.g., Rose & Behm, 2014) may be indicated, such that those who relapse on the patch may benefit from a switch to a new treatment to support recovery from relapse.

Taken together, these findings suggest that the latent classes are meaningful. The significant associations observed with treatment and known risk and protective factors also mitigate concern that the latent classes of smoking trajectories obtained are an artifact of the approach used. Latent class approaches have previously been criticized for consistently yielding four-class models of alcohol use trajectories that resemble a “cat’s cradle,” in which one class consistently uses heavily, one class consistently abstains, one starts low and increases use, and one starts with heavy use and then decreases use (Sher, Jackson, & Steinley, 2011). Although we observed a pattern similar, if slightly more complex than this, in the current study, we also found considerable evidence to support the validity of the solution. The distal outcome analysis, in particular, suggests that the trajectory in daily abstinence probabilities in the first weeks of a quit attempt conveys important information about long-term abstinence probabilities. Even when mean abstinence probabilities are similar, as in relapers and late intermittent smokers, or when day-27 abstinence probabilities are similar, as for early and late intermittent smokers, the pattern of change over the first month seems to convey important information about later smoking status. We also used a nonlinear approach that could capture arbitrarily complex patterns of daily smoking and still
found that adding additional classes told us little above and beyond the five class solution adopted. The cat’s cradle may be ubiquitous because it captures real processes of change, and the pattern of change observed early in a quit attempt may predict lasting change in ways that can inform adaptive treatments in the future.

The current results suggest that adaptive treatment strategies for smoking cessation based on early smoking patterns may need to allow enough time for patterns to reach stable states. In this sample, 14% of smokers achieved fairly stable smoking probabilities only after 2 to 3 weeks of quitting efforts. A grace period of 2 to 3 weeks may help detect ability to maintain abstinence (to differentiate early quitters from relapers) and ability to recover from initial smoking (to differentiate cessation failures from late intermittent smokers) that may influence distal outcomes. Notably, the standard that the Food and Drug Administration uses to assess smoking cessation outcome in drug studies typically allows a grace period of 2 weeks, but then requires continuous abstinence thereafter. Our findings suggest that the initial grace period is needed to allow many smokers to achieve a stable state of abstinence.

Limitations

We used retrospective, self-reported, binary daily smoking status (rather than smoking heaviness) as the repeated indicator of latent status in this analysis. Such data are subject to deliberate deception and recall bias. There is little reason to expect that these sources of error would affect the patterns seen over time, however. Treating smoking as binary in the analysis may also mask important patterns in smoking heaviness. It is possible to create latent classes based on smoking heaviness, but not for 27 days in RMLCA due to convergence problems. Identifying latent classes based on smoking heaviness patterns over shorter periods of time (e.g., 7 days) would be valuable complements to the current approach. In addition, the current data came from a treatment-seeking, heavy-smoking sample, with all receiving counseling and most receiving active pharmacotherapy. It may be that results would not generalize to self-quitters or a sample differing in nicotine dependence levels. It is likely that class prevalence would change in lower-dependence and less motivated samples, and different classes might appear. Results may also fail to generalize to a more diverse population. Although the current sample was fairly balanced in terms of gender and diverse in terms of education and income, only 2% of the sample self-identified as neither White nor African American. Latent class mixture models can be influenced by sample-specific patterns of responding and replicating the findings in an independent sample is important for increasing confidence in the results. We also have not explored the full range of covariates that may be associated with daily smoking status latent class. Psychopathology other than mood disorders and social or contextual variables may also predict latent class. Examining additional covariates, and time-varying covariates, in particular, could yield useful insights into the process of cessation.

Conclusions

Results from this RMLCA suggest that distinct and valid classes can be identified from binary smoking data in the first few weeks of a quit attempt and used to test treatment effects in novel ways. Daily smoking latent class membership was related to known relapse risk factors and treatment in ways that support the validity of the latent class structure. The differences that emerged among the intermediate classes of smokers in terms of abstinence probability trajectories over time, covariate relations, and distal outcomes suggest that there are meaningful differences among groups of smokers who look similar in point-prevalence snapshots of abstinence. Such differences can only be examined, understood, and targeted in treatment if smoking outcomes are assessed in ways that better match the diversity and complexity of cessation responses observed in this study. Similar RMLCA approaches may be of use in the study of change processes in other areas (e.g., other behaviors targeted for change in treatment).

References


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Received June 30, 2014
Revision received January 5, 2015
Accepted January 15, 2015